

# RECOVERING INVESTOR LOSSES FROM BROKERAGE FIRM MISCONDUCT

LAW OFFICES OF  
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## BROKERAGE FRAUD

Brokerage fraud and mismanagement of investors' funds occur far more often than people realize. Discovery of brokerage firm misconduct is rarely revealed during good economic times. However, market declines cause investors (or their tax and legal professionals) to identify the real reason for the losses or missing funds.

My law practice is devoted to helping those people, including senior citizens, who may have been victimized by the financial industry. For a free consultation and case review, please call **(405) 748-8855** or visit my website at [www.StockAndBrokerFraud.com](http://www.StockAndBrokerFraud.com) or email me at [Law@KurtisWard.com](mailto:Law@KurtisWard.com)

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- Brokerage Fraud
- Broker Negligence
- Unsuitable Investments
- Churning/Excessive Commissions
- Over-Concentration
- Unauthorized Trading
- FINRA/NASD Arbitrations
- Investment Fraud Against Seniors



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